

S/N 10/022,153

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

|             |   |                 |                      |
|-------------|---|-----------------|----------------------|
| Applicant:  | Steven Lundberg   | Examiner:       | Alexander Kalinowski |
| Serial No.: | 10/022,153  | Group Art Unit: | 3626                 |
| Filed:      | December 14, 2001   | Docket:         | 684.001US2           |
| Title:      | METHOD AND APPARATUS FOR PAYMENT OF OUT-OF-POCKET COSTS<br>IN A LAW FIRM (AS AMENDED) |                 |                      |

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicant respectfully requests that this Supplemental Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicant requests that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicant with the next official communication.

Pursuant to 37 C.F.R. §1.97(c)(2), Applicants have included the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p). Please charge any additional fees or credit any overpayment to Deposit Account No. 19-0743.

02/14/2005 SSESHE1 00000008 10022153  
02 FC:1806 180.00 OP

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Serial No :10/022,153

Filing Date: December 14, 2001

Title: METHOD AND APPARATUS FOR PAYMENT OF OUT-OF-POCKET COSTS IN A LAW FIRM (AS AMENDED)

Page 2

Dkt: 684.001US2

The Examiner is invited to contact the Applicant's Representative at the below-listed telephone number if there are any questions regarding this communication.

Pursuant to 37 C.F.R. 1.98(a)(2), Applicant believes that copies of cited U.S. Patents and Published Applications are no longer required to be provided to the Office. Notification of this change was provided in the United States Patent and Trademark Office OG Notices dated October 12, 2004. Thus, Applicant has not included copies of any US Patents or Published Applications cited with this submission. Should the Office require copies to be provided, Applicant respectfully requests that notice of such requirement be directed to Applicant's below-signed representative. Applicant acknowledges the requirement to submit copies of foreign patent documents and non-patent literature in accordance with 37 C.F.R. 1.98(a)(2).

Respectfully submitted,

STEVEN LUNDBERG

By his Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938  
Minneapolis, MN 55402  
(612) 373-6902

Date

2/8/2005

By

Steven W. Lundberg  
Reg. No. 30,568

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 8 day of February, 2005.

Name

KACIA LEE

Signature

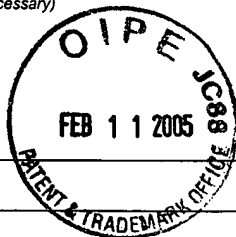
Kacia Lee

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)



Complete if Known

|                      |                       |
|----------------------|-----------------------|
| Application Number   | 10/022,153            |
| Filing Date          | December 14, 2001     |
| First Named Inventor | Lundberg, Steven      |
| Group Art Unit       | 3626                  |
| Examiner Name        | Kalinowski, Alexander |

Sheet 1 of 1

Attorney Docket No: 684.001US2

**US PATENT DOCUMENTS**

| Examiner Initial * | USP Document Number | Publication Date | Name of Patentee or Applicant of cited Document | Filing Date If Appropriate |
|--------------------|---------------------|------------------|---|----------------------------|
|                    | US-2004.0225607-A1  | 11/11/2004       | Lundberg, S.                                    | 07/19/2004                 |
|                    | US-2004/0236613-A1  | 11/25/2004       | Lundberg, S.                                    | 07/14/2004                 |
|                    | US-2004/0267558-A1  | 12/30/2004       | Lundberg, S.                                    | 07/19/2004                 |
|                    | US-5,875,431        | 02/23/1999       | Heckman, F. , et al.                            | 03/15/1996                 |
|                    | US-5,991,742        | 11/23/1999       | Tran, B. Q.                                     | 05/20/1996                 |

**FOREIGN PATENT DOCUMENTS**

| Examiner Initials* | Foreign Document No | Publication Date | Name of Patentee or Applicant of cited Document | T <sup>2</sup> |
|--------------------|---------------------|------------------|---|----------------|
|--------------------|---------------------|------------------|---|----------------|

**OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

| Examiner Initials* | Cite No <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|--------------------|----------------------|---|----------------|
|--------------------|----------------------|---|----------------|

**EXAMINER****DATE CONSIDERED**

Substitute Disclosure Statement Form (PTO-1449)

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional) <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached

**S/N 10/022,153**

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Steven Lundberg

Examiner: Alexander Kalinowski

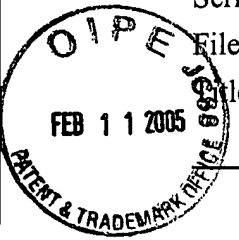
Serial No.: 10/022,153

Group Art Unit: 3626

Filed: December 14, 2001

Docket: 684.001US2

Title: METHOD AND APPARATUS FOR PAYMENT OF OUT-OF-POCKET  
COSTS IN A LAW FIRM (AS AMENDED)



**COMMUNICATION CONCERNING RELATED APPLICATION(S)**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Applicant would like to bring to the Examiner's attention the following related application(s) in the above-identified patent application:

| <u>Serial/Patent No.</u> | <u>Filing Date</u>  | <u>Attorney Docket</u> | <u>Title</u>  |
|--------------------------|---------------------|------------------------|---|
| 09/240456                | January 29,<br>1999 | 684.002US1             | IMPROVED COMPUTERIZED PATENT<br>AND TRADEMARK FEE PAYMENT<br>METHOD AND SYSTEM        |
| 09/378865                | August 23,<br>1999  | 684.004US1             | COMPUTERIZED PATENT AND<br>TRADEMARK FEE PAYMENT<br>METHOD AND SYSTEM (AS<br>AMENDED) |
| 09/418969                | October 14,<br>1999 | 684.006US1             | IMPROVED COMPUTERIZED PATENT<br>AND TRADEMARK FEE PAYMENT<br>METHOD AND SYSTEM        |
| 09/480263                | January 11,<br>2000 | 684.007US1             | IMPROVED COMPUTERIZED PATENT<br>AND TRADEMARK FEE PAYMENT<br>METHOD AND SYSTEM        |
| 10/348784                | January 22,<br>2003 | 684.006US2             | IMPROVED COMPUTERIZED PATENT<br>AND TRADEMARK FEE PAYMENT<br>METHOD AND SYSTEM        |
| 10/640415                | August 12,<br>2003  | 684.007US2             | IMPROVED COMPUTERIZED PATENT<br>AND TRADEMARK FEE PAYMENT<br>METHOD AND SYSTEM        |

**COMMUNICATION CONCERNING RELATED APPLICATIONS**

Serial Number: 10/022,153

Filing Date: December 14, 2001

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Page 2

Dkt: 684.001US2

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|           |               |            |  |
|-----------|---------------|------------|--|
|           | Herewith      | 684.002US2 | IMPROVED COMPUTERIZED PATENT AND TRADEMARK FEE PAYMENT METHOD AND SYSTEM                               |
| 10/710483 | July 14, 2004 | 684.001US3 | PROCESSING LAW FIRM OUT-OF-POCKET COSTS USING A SEPARATE ACCOUNT                                       |
| 10/710534 | July 19, 2004 | 684.001US4 | SYSTEM AND METHOD FOR UP FRONT BILLING OF INTEREST COSTS TO LAW FIRM CLIENTS                           |
| 10/710556 | July 20, 2004 | 684.001US5 | SYSTEM AND METHOD FOR SEPARATE CHARGES TO A LAW FIRM FOR EACH OUT-OF-POCKET COST                       |
| 10/710533 | July 19, 2004 | 684.001US6 | SYSTEM AND METHOD FOR MULTIPLE CHARGE OPTIONS FOR BILLING OUT-OF-POCKET COSTS                          |
|           | July 19, 2004 | 684.001US7 | SYSTEM AND METHOD FOR A LAW FIRM TO INCUR SEPARATE CHARGES IN RELATION TO HANDLING OUT-OF-POCKET COSTS |
| 10/710554 | July 20, 2004 | 684.001US9 | UP FRONT BILLING OF INTEREST COSTS TO LAW FIRM CLIENTS WITH ADJUSTMENT BASED ON PAYMENT TIMING         |
| 10/710558 | July 20, 2004 | 684.001U10 | LAW FIRM ACCOUNTING SYSTEM AND METHOD FOR PROCESSING SEPARATE CHARGES FOR OUT-OF-POCKET COSTS          |

COMMUNICATION CONCERNING RELATED APPLICATIONS

Serial Number: 10/022,153

Filing Date: December 14, 2001

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Page 3

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Respectfully submitted,

STEVEN LUNDBERG

By Applicant's Representatives,

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Minneapolis, MN 55402

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Date

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By

  
Steven W. Lundberg

Reg. No. 30,568

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 8 day of February, 2005.

Name

KACIA LEE

Signature

Kacia Lee